

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Appln. No: 10/712,635
Applicant: Kazuhisa Yamamoto et al.
Filed: November 13, 2003
Title: OPTICAL DEVICE, LASER BEAM SOURCE, LASER APPARATUS AND
METHOD OF PRODUCING OPTICAL DEVICE
TC/A.U.: 2828
Examiner: Dung T. Nguyen
Confirmation No.: 2129
Docket No.: YAO-3750US5

**SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a submitted herewith. A copy of each of the references listed on the PTO/SB/08a is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

The following references are not in the English language:

- 1) JP 06-011750
- 2) JP 06-194708
- 3) JP 05-249521
- 4) JP 05-034611
- 5) JP 58-044785
- 6) JP 07-099360
- 7) JP 06-045669
- 8) JP 05-232605
- 9) JP 06-258597
- 10) JP 06-308408

References 1) to 10) include English language abstracts.

References JP 06-273814 and JP 05-232536 were previously cited in a Supplemental Information Disclosure Statement dated November 13, 2003.

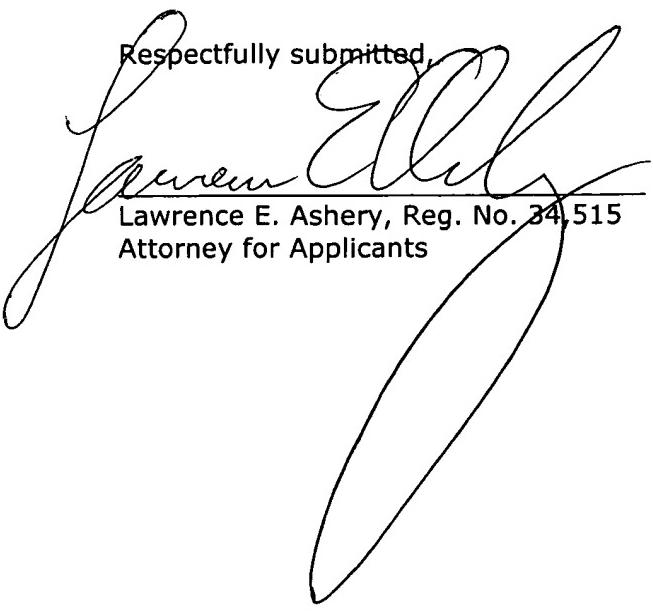
More than three months have elapsed since the filing of the above-referenced application and a first (non-Final) Official Action has been received. No Final Action or Notice of Allowance has yet been received and it is presumed that none has yet been mailed. Accordingly, as more specifically indicated below, a statement as required by 37 C.F.R. § 1.97(c) is provided herewith.

STATEMENT UNDER 37 C.F.R. § 1.97(e)

The undersigned hereby states that each item of information disclosed in the Information Disclosure Statement was first cited in any communication from a

foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,


Lawrence E. Ashery, Reg. No. 34,515
Attorney for Applicants

LEA/ds

Enclosures: PTO Form SB/08a
(10) References
Transmittal Form

Dated: August 7, 2006

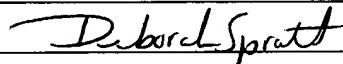
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August 7, 2006

Deborah Spratt



ds/49725



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 5 +10 References

Application Number	10/712,635
Filing Date	November 13, 2003
First Named Inventor	Kazuhisa Yamamoto et al.
Art Unit	2828
Examiner Name	Dung T. Nguyen
Attorney Docket No.	YAO-3750US5

ENCLOSURES (Check all that apply)

- Fee Transmittal Form
 Fee Attached
- Amendment/Reply
 After Final
 Affidavits/Declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement (Supplemental)
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
 Response to Missing Parts under 37 CFR 1.52 or 1.53

- Drawing(s)
 Licensing-related Papers
 Petition
 Petition to Convert to a Provisional Application
 Power of Attorney, Revocation, Change of Correspondence Address
 Terminal Disclaimer
 Request for Refund
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- After Allowance Communication to TC
 Appeal Communication to Board of Appeals and Interferences
 Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
 Proprietary Information
 Status Letter
 Other Enclosure(s) (please identify below):

PTO Form SB/08
10 References
Return Postcard**Remarks:****SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

Firm Name RainerPrestia

Signature

Printed Name Lawrence E. Ashery

Date August 7, 2006

Registration No. 34,515

CERTIFICATE OF TRANSMISSION / MAILING

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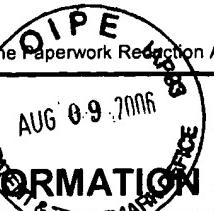
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

<i>Complete if Known</i>	
Application Number	10/712,635
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First Named Inventor	Kazuhisa Yamamoto et al.
Art Unit	2828
Examiner Name	Dung T. Nguyen
Attorney Docket No.	YAO-3750US5

SHEET 1 of 1

Attorney Docket No. YAO-3750US5

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)			
		JP 06-011750 (w/English Abstract)	01/21/1994	PHILIPS ELECTRON NV	<input type="checkbox"/>
		JP 06-194708 (w/English Abstract)	07/15/1994	OKI ELECTRIC IND DO LTD	<input type="checkbox"/>
		JP 05-249521 (w/English Abstract)	09/28/1993	HITACHI LTD	<input type="checkbox"/>
		JP 05-034611 (w/English Abstract)	02/12/1993	FUJI PHOTO FILM CO LTD	<input type="checkbox"/>
		JP 58-044785 (w/English Abstract)	03/15/1983	KOKUSAI DENSHIN DENWA CO LTD	<input type="checkbox"/>
		JP 07-099360 (w/English Abstract)	04/11/1995	MATSUSHITA ELECTRIC IND CO LTD	<input type="checkbox"/>
		JP 06-045669 (w/English Abstract)	02/18/1994	NEC CORP	<input type="checkbox"/>
		JP 05-232605 (w/English Abstract)	09/10/1993	FUJI PHOTO FILM CO LTD	<input type="checkbox"/>
		JP 06-258597 (w/English Abstract)	09/16/1994	SHARP CORP	<input type="checkbox"/>
		JP 06-308408 (w/English Abstract)	11/04/1994	OLYMPUS OPTICAL CO LTD	<input type="checkbox"/>

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

idered. Include copy of this form with next communication to:
1. [REDACTED]

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³Enter Office that issued the document, by the two-letter code (WIPO Standard SI-3).

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⁵Kind of document by the appropriate symbols as indicated on the document under
⁶any other information which may be relevant is attached.

⁶Applicant is to place a check mark here if English language translation is attached.
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